

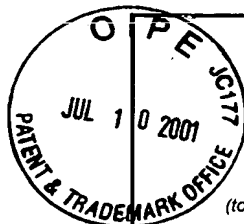
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/805,910		
	Filing Date	March 15, 2001	
	First Named Inventor	Gregg Wilkinson	
	Group Art Unit	2185	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission		Attorney Docket Number	H2100.0001/P001

ENCLOSURES (check all that apply)

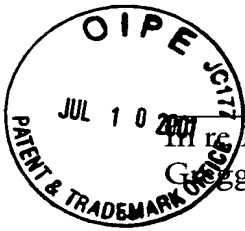
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Eric Oliver
Signature	
Date	July 10, 2001

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Docket No.: H2100.0001/P001
(PATENT) T.D.
9/12/01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:
Gregg Wilkinson, et al.

Application No.: 09/805,910

Group Art Unit: 2185

Filed: March 15, 2001

Examiner: Not Yet Assigned

For: APPARATUS FOR AND METHOD OF
ASSESSING, MONITORING, AND
REPORTING ON BEHAVIORAL
HEALTH DISORDERS

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INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

A copy of each reference on PTO/SB/08 is attached.

A concise explanation of relevance of the items listed on form PTO/SB/08 is:

☐ not given

☐ given for each listed item

☐ given for only non-English language listed items

☒ in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. H2100.0001/P001. A duplicate copy of this paper is enclosed.

Dated: July 10, 2001

Respectfully submitted,

By 
Eric Oliver

Registration No.: 35,307
DICKSTEIN SHAPIRO MORIN &
OSHINSKY LLP
2101 L Street NW
Washington, DC 20037-1526
(202) 785-9700
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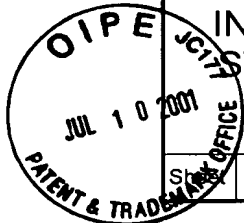
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Complete if Known

Application Number	09/805,910
Filing Date	March 15, 2001
First Named Inventor	Gregg Wilkinson
Group Art Unit	2185
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Attorney Docket Number	H2100.0001/P001



U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A	5,473,537		Glazer et al.	12/5/95	
	B	5,924,074		Evans	7/13/99	
	C	5,915,240		Karpf	6/22/99	
	D	5,692,501		Minturn	12/2/97	
	E	5,696,981		Shovers	12/9/97	
	F	5,779,634		Ema et al.	7/14/98	
	G	5,867,821		Ballantyne et al.	2/2/99	
	H	5,940,801		Brown	8/17/99	
	I	5,879,163		Brown et al.	3/9/99	
	J	5,937,387		Summerell et al.	8/10/99	
	K	5,991,729		Barry et al.	11/23/99	
	L	6,014,629		DeBruin-Ashton	1/11/00	
	M	5,999,909		Rakshit et al.	12/7/99	
	N	6,014,630		Jeacock et al.	1/11/00	
	O	5,572,421		Altman et al.	11/5/96	
	P	6,071,236		Hiff	6/6/00	
	Q	6,101,478		Brown	8/8/00	
	R	6,113,540		Hiff	9/5/00	
	S	5,933,136		Brown	8/3/99	
	T	5,910,107		Hiff	6/8/99	
	U	5,868,669		Hiff	2/9/99	
	V	5,822,544		Chaco et al.	10/13/98	
	W	5,509,064		Welner et al.	4/16/96	
	X	5,764,923		Tallman et al.	6/9/98	
	Y	5,737,396		Garcia	4/7/98	
	Z	5,722,418		Bro	3/3/98	
	1	5,435,324		Brill	7/25/95	
	2	5,924,074		Evans	7/13/99	
	3	6,112,183		Swanson et al.	8/29/00	
	4	6,125,350		Dirbas	9/26/00	
	5	6,108,665		Bair et al.	8/22/00	
	6	6,067,523		Bai et al.	5/23/00	
	7	6,154,726		Rensimer et al.	11/28/00	
	8	6,026,363		Shepard	2/15/00	
	9	5,325,294		Keene	6/28/94	
	10	6,148,297		Swor et al.	11/14/00	
	11	5,995,937		DeBusk et al.	11/30/99	
	12	6,163,781		Wess, Jr.	12/19/00	
	13	6,112,049		Sonnenfeld	8/29/00	
	14	5,935,060		Hiff	8/10/99	
	15	5,857,967		Frid et al.	1/12/99	
	16	6,047,259		Campbell et al.	4/4/00	
	17	6,032,119		Brown et al.	2/29/00	
	18	5,961,332		Joao	10/5/99	

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Sheet 2 of 3

Complete if Known

Application Number	09/805,910
Filing Date	March 15, 2001
First Named Inventor	Gregg Wilkinson
Group Art Unit	2185
Examiner Name	Not Yet Assigned
Attorney Docket Number	H2100.0001/P001

20 U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	19	6,022,315		Hiff	2/8/00	
	20	6,126,596		Freedman	10/3/00	
	21	5,997,476		Brown	12/7/99	
	22	6,030,226		Hersh	2/29/00	
	23	6,120,440		Goknar	9/19/00	
	24	6,161,095		Brown	12/12/00	
	25	6,159,131		Pfeffer	12/12/00	
	26	6,151,586		Brown	11/21/00	
	27	6,151,581		Kraftson et al.	11/21/00	
	28	6,149,440		Clark et al.	11/21/00	
	29	6,190,313 B1		Hinkle	2/20/01	
	30	6,168,563 B1		Brown	1/2/01	
	31	5,486,999		Mebane	1/23/96	
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	33	6,177,940 B1		Bond et al.	1/23/01	
	34	6,182,047 B1		Dirbas	1/30/01	
	35	6,192,112 B1		Rapaport et al.	2/20/01	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 3

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Application Number	09/805,910
Filing Date	March 15, 2001
First Named Inventor	Gregg Wilkinson
Group Art Unit	2185
Examiner Name	Not Yet Assigned
Attorney Docket Number	H2100.0001/P001

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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